



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 2470

DATE SCANNED 3/10/15

SCANNER NO. 2

SCAN OPERATOR SES



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 5, 2011

MEMORANDUM

TO: The Commission

THROUGH: Alec Palmer
Acting Staff Director

FROM: Patricia Carmona *PC*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: Jodi Winship *JW*
Compliance Branch

SUBJECT: Reason to Believe Recommendation -
Failure to File 48-Hour Notices under the Administrative Fine Program

Attached is the name of a principal campaign committee that has failed to file 48-hour notices with the Commission for contributions of \$1,000.00 or more received from the close of books for the Maryland 12 Day Pre-General Report up to 48 hours before the November 2, 2010 General Election in accordance with 2 U.S.C. § 434(a)(6) and 11 CFR. § 104.5(f). The committee, Cardarelli for Congress, represents a candidate who lost the General Election. The committee is being referred for failing to file 48-hour notices for contributions totaling \$50,900.00.

A 48-hour notice is required to report all contributions of a \$1,000.00 or more, to any authorized committee of a candidate, including contributions from the candidate, loans from the candidate and other non-bank sources and endorsements or guarantees of loans from banks, as per, 11 CFR § 104.5(f).

We have attached an information sheet which includes the contributor name, date of receipt and amount of the contributions for which a 48-hour notice was not filed.

In accordance with the schedule of civil money penalties outlined within 11 CFR § 111.44, this committee should be assessed the civil money penalty so indicated.

Recommendation

1. Find reason to believe that Cardarelli for Congress and Richard John Police, Treasurer, violated 2 U.S.C. § 434(a)(6) and make a preliminary determination that a civil money penalty of \$5,970.00 be assessed.
2. Send the appropriate letter.

Attachment

Contributions for Which a 48-Hour Notice Was Not Received

AF: 2470

Committee ID: C00480194

Committee Name: Cardarelli for Congress

Report Type: 30 Day Post-General Report (10/14/2010 – 11/22/2010)

48-Hour Reporting Period: 10/14/2010 – 10/30/2010

CONTRIBUTOR	DATE	AMOUNT
CROFT, RUSSEL	10/18/2010	\$1,000.00
WILLIAMS, LACY	10/18/2010	\$1,500.00
CROFT, KENT	10/20/2010	\$1,000.00
ARENSON, TERRY	10/21/2010	\$1,000.00
CROFT, GORDON	10/21/2010	\$2,000.00
DUFF, JOSEPH	10/21/2010	\$2,400.00
GRAUL, THOMAS	10/21/2010	\$1,000.00
GREEBE, WILLIAM	10/21/2010	\$2,400.00
KEELTY, BRIAN	10/21/2010	\$1,000.00
KEELTY, MICHAEL	10/21/2010	\$2,000.00
OUSBORNE, MARGARET	10/21/2010	\$1,000.00
SLEVIN, JAMES	10/21/2010	\$1,000.00
THE SOCIETY OF THORACIC SURGEONS	10/21/2010	\$5,000.00
WYATT, BERNADINE	10/21/2010	\$1,000.00
HEYMAN, BARRY	10/24/2010	\$1,000.00
KOZEL, DIANE	10/25/2010	\$1,000.00
WEHBERG, KURT	10/26/2010	\$1,000.00
CARDARELLI, MARCELO GABRIEL	10/28/2010	\$15,000.00
CROFT, JANE	10/28/2010	\$2,400.00
MULLAN, JOHN	10/29/2010	\$2,400.00
MULLAN, MARY	10/29/2010	\$2,400.00
MULLAN, THOMAS	10/29/2010	\$2,400.00
TOTAL		\$50,900.00

Proposed Civil Money Penalty: \$5,970.00 ((8 Notices Not Filed at \$110 each) + (10% of the Overall Contributions Not Filed))

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation –) AF 2470
Failure to File 48-Hour Notices under the)
Administrative Fine Program; Cardarelli)
for Congress and Richard John Police,)
Treasurer)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on April 07, 2011, the Commission decided by a vote of 6-0 to take the following actions in AF 2470:

1. Find reason to believe that Cardarelli for Congress and Richard John Police, Treasurer, violated 2 U.S.C. § 434(a)(6) and make a preliminary determination that a civil money penalty of \$5,970.00 be assessed.
2. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

April 8, 2011
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 8, 2011

Richard John Police, in official capacity as Treasurer
Cardarelli for Congress
P.O. Box 1305
Brooklandville, MD 21022

C00480194
AF#: 2470

Dear Mr. Police:

NOTIFICATION

The Federal Election Campaign Act of 1971, as amended, 2 U.S.C. § 431, *et seq.* ("the Act"), requires principal campaign committees of candidates for federal office to notify in writing either the Secretary of the Senate or the Federal Election Commission ("FEC"), and the Secretary of State, as appropriate, of any contribution of \$1,000 or more, received by any authorized committee of the candidate after the 20th day, but more than 48 hours before, any election. 2 U.S.C. § 434(a)(6)(A). The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the contributor. *Id.* These notification requirements are in addition to all other reporting requirements. 2 U.S.C. § 434(a). Our records indicate that Cardarelli for Congress did not submit 48-Hour Notices for contributions of \$1,000 or more, received between October 14, 2010 and October 30, 2010, totaling \$50,900, as required by 2 U.S.C. § 434(a)(6)(A). Attachment 1.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On April 7, 2011, the FEC found that there is Reason to Believe ("RTB") that Cardarelli for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file the 48-Hour Notices. Based on the FEC's schedule of civil money penalties at 11 C.F.R. § 111.44, the amount of your civil money penalty calculated at the RTB stage is \$5,970. Please see the attached copy of the Commission's administrative fine regulations at 11 C.F.R. §§ 111.30-111.46. Attachment 2. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. <http://www.fec.gov/af/af.shtml>. 11 C.F.R. § 111.34. The amount of the civil money penalty is \$110 for each non-filed notice plus 10 percent of the dollar amount of the contributions not timely reported. The civil money penalty increases by 25 percent for each prior violation. Send your payment of \$5,970 within forty (40) days of the finding, or by May 17, 2011.

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or May 17, 2011. 11 C.F.R. § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 C.F.R. § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 C.F.R. § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 C.F.R. § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 C.F.R. § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not or submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Cardarelli for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. § 111.45.

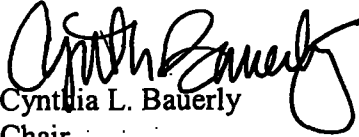
3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Ian Wandner in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,


Cynthia L. Bauerly
Chair

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.44, the amount of your civil money penalty calculated at RTB is \$5,970 for the 2010 General Election 48-Hour Notification Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by May 17, 2011. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Cardarelli for Congress

FEC ID#: C00480194

AF#: 2470

PAYMENT DUE DATE: May 17, 2011

PAYMENT AMOUNT DUE: \$5,970

FEC OFFICE OF
ADMIN REVIEW

2011 MAY -6 P 1:28

RECEIVED

4/29/11 APR 28 AM 11:14

FEC MAIL CENTER

Ian Wandner
Federal Election Commission
99 E. St. NW
Washington DC 20463

MS-T
C00480194

4/26/11

Dear Ian:

This is in response to the letter I received outlining the fines imposed on Cardarelli for Congress, C00480194. I volunteered my valuable time to be treasurer for the losing campaign for my friend Marcelo Cardarelli. I have never done anything like this before and spent countless times on the phone with my assigned FEC analyst Rebecca Hough questioning her on everything that goes into the reports. I tried my hardest but will never do it again.

I electronically submitted a termination report on 3/24/2011 that was accepted by the FEC. Shortly after that time I closed the bank account and the candidate had forgiven his loans to the campaign with a signed letter to the FEC. There are no funds available to pay for the fine

Sincerely,

R. J. Police 4/26/11

Richard J Police
Past Treasurer
Cardarelli for Congress
C00480194

MS-T
4/29
QAV
SPR
RJD
4/29



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Via First Class Mail

May 6, 2011

Richard John Police, in his official capacity as Treasurer
Cardarelli for Congress
P.O. Box 1305
Brooklandville, MD 21022

C00480194
AF# 2407

Dear Mr. Police:

On May 6, 2011, the Commission's Office of Administrative Review ("OAR") received your written response ("challenge") which is being reviewed by OAR. If you have any questions regarding your challenge, please contact this Office on our toll free number (800)424-9530 (press 0, then ext. 1660) or (202)694-1660.

Sincerely,

A handwritten signature in black ink, appearing to read "Dayna C. Brown".

Dayna C. Brown
Reviewing Officer
Office of Administrative Review

**FEC OFFICE OF
ADMIN REVIEW**

2011 MAY 10 P 2:03

Date: May 10, 2011

**REFERRAL TO OFFICE OF ADMINISTRATIVE REVIEW
CHALLENGE RECEIVED**

AF#: 2470

Committee Name: Cardarelli for Congress

Committee ID#: C00480194

Committee Address (if different than in RTB letter):

Middletown, MD 21769

Treasurer Name (if different than in RTB finding): N/A

Attachments:

**Copy of RTB Circulation Report, dated April 5, 2011 and RTB Certification,
dated April 8, 2011 (Y/N): Y**

Attachment #: 1

Proof of Delivery (to be forwarded at later date if not yet received) (Y/N): Y

Attachment #: 2

Other Relevant Telecoms (Y/N): N

Attachment #: N/A

Original Correspondence Received by RAD in Response to RTB Letter (Y/N): N

Attachment #: N/A

RAD Staff Declaration (Y/N): Y

**-2010 Pre- and Post General Election Report Notice, dated September 27,
2010.**

-RFAI Letter, dated February 7, 2011.

-RTB Letter, dated April 8, 2011.

-No Termination Letter, dated April 14, 2011

Attachment #: 4

Other RAD Information: (Y/N): Y

Attachment#: 5

**Proof of Delivery**[Close Window](#)

Dear Customer,

This notice serves as proof of delivery for the shipment listed below.

Tracking Number:

1ZWF58600195000246

Service:

UPS Next Day Air®

Weight:

1.00 lb

Shipped/Billed On:

04/20/2011

Delivered On:

04/21/2011 4:55 P.M.

Delivered To:

MIDDLETOWN, MD, US

Signed By:

POLICE

Left At:

Residential

Thank you for giving us this opportunity to serve you.

Sincerely,

UPS

Tracking results provided by UPS: 04/21/2011 5:49 P.M. ET

[Print This Page](#)[Close Window](#)

Attachment 2

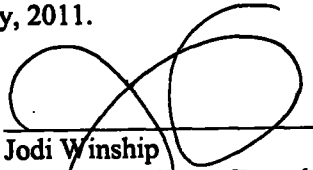
DECLARATION OF JODI WINSHIP

1. I am the Chief of the Compliance Branch for the Reports Analysis Division of the Federal Election Commission ("Commission"). In my capacity as Chief of the Compliance Branch, I oversee the initial processing of the Administrative Fine Program. I make this declaration based on my personal knowledge and, if called upon as a witness, could and would testify competently to the following matters.
2. I hereby certify that documents identified herein are true and accurate copies of the following sent by the Commission to Cardarelli for Congress:

- A) Prior Notice, dated September 27, 2010, referencing the reporting requirements of 48-Hour Notices (sent via electronic mail to: POLICRJ@AOL.COM);
- B) Request for Additional Information Letter, dated February 7, 2011, Referencing the missing 48-Hour Notices;
- C) Reason-to-Believe Letter, dated April 8, 2011, referencing the missing 2010 48-Hour Notices.
- D) No Termination Letter, dated April 14, 2011, referencing the March 30, 2011 request to terminate.

I hereby certify that I have searched the Commission's public records and find that Cardarelli for Congress filed the 30 Day Post-General Report with the Commission on November 30, 2010 and has not filed the 2010 48-Hour Notices of Contributions.

3. Pursuant to 28 U.S.C. 1746, I declare under penalty of perjury that the foregoing is true and correct and that all relevant telecoms for the matter have been provided. This declaration was executed at Washington, D.C. on the 10th day of May, 2011.



Jodi Winship
Chief, Compliance Branch
Reports Analysis Division
Federal Election Commission



GENERAL ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

September 27, 2010

CURRENT REPORT DUE FOR 2010 GENERAL ELECTION CANDIDATE COMMITTEES

REPORT	REPORTING PERIOD	REG/CERT & OVERNIGHT MAILING DEADLINE	FILING DEADLINE
Pre-General	10/01/10 - 10/13/10	10/18/10	10/21/10
48-Hour Notices	10/14/10 - 10/30/10	-- general candidates only -- see filing info --	
Post-General	10/14/10 - 11/22/10	12/02/10	12/02/10

REPORTING SCHEDULE FOR REMAINDER OF 2010

2010 GENERAL ELECTION CANDIDATES

REPORT	REPORTING PERIOD	REG/CERT & OVERNIGHT MAILING DEADLINE	FILING DEADLINE
Year-End	11/23/10 - 12/31/10	01/31/11	01/31/11

UNSUCCESSFUL 2010 PRIMARY ELECTION CAMPAIGN COMMITTEES AND CANDIDATES NOT ACTIVE IN 2010 ELECTIONS

REPORT	REPORTING PERIOD	REG/CERT & OVERNIGHT MAILING DEADLINE	FILING DEADLINE
Year-End	10/01/10 - 12/31/10	01/31/11	01/31/11

Supplemental Filing Information is available for Congressional Committees.

A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered up through the close of books for the first report due.

2010 SUPPLEMENTAL FILING INFORMATION CONGRESSIONAL COMMITTEES

PLEASE NOTE: The Commission provides reminders of upcoming filing dates as a courtesy to help committees comply with the filing deadlines set forth in the Act and Commission regulations. Committee treasurers must comply with all applicable filing deadlines established by law, and the lack of prior notice does not constitute an excuse for failing to comply with any filing deadline.

WHO MUST FILE

Principal campaign committees of all 2010 general election congressional candidates¹ (including unopposed candidates and candidates whose names do not appear on the ballot) must file Pre- and Post-General Election Reports.²

Campaigns that raise or spend more than \$5,000 for the 2010 election cycle (and thus trigger registration and reporting requirements) must file quarterly reports throughout 2010, even if the candidate plans to retire, withdraws from the race prior to the primary election, loses the primary or drops out of the race prior to the general election. See 11 CFR 104.5(a)(2).

Before a committee can stop filing with the FEC, it must file a termination report with the Commission. See 11 CFR 102.3. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

METHODS OF FILING REPORTS

Electronic Filing

Reports filed electronically must be received and validated by the Commission by 11:59 p.m. Eastern Time on the filing deadline. See 11 CFR 104.5(e).

- Web Page: Electronic Filing Page
- Campaign Guide for Congressional Candidates and Committees (Candidate Guide), pp. 82-84 [PDF]

Paper Filing - Meeting the Filing Deadline

Paper Report filing options -- Registered, Certified or Overnight or First Class Mail. See 11 CFR 104.5(e).

- Web Page: Link to Paper Forms (for downloading and printing)
- Candidate Guide, p. 81 [PDF]

¹ Generally, an individual becomes a candidate for federal office (and thus triggers registration and reporting obligations) when his or her campaign exceeds \$5,000 in either contributions or expenditures. If the campaign has not crossed the \$5,000 threshold, it is not required to file reports. See 11 CFR 100.3(a).

² If a candidate has more than one authorized committee, the principal campaign committee must also file a consolidated report on Form 3Z [PDF].

PRE- AND POST-ELECTION REPORTS

A committee whose candidate participates in a 2010 state primary, nominating convention or runoff election -- even if unopposed -- must also file a pre-election report 12 days prior to the primary, nominating convention or runoff. The campaign committee of a candidate who participates in the general election must file pre- and post-general election reports.

See 11 CFR 104.5(a)(2).

- Web Page: 2010 Congressional Pre-Primary Reporting Dates
- The Record: January 2010 issue [PDF]
- Candidate Guide, pp. 79-80 [PDF]

48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives any contribution (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of bank loans to the candidate or committee) of \$1,000 or more per source, during the period of October 14 through October 30, 2010.

The notices must reach the appropriate federal filing office within 48 hours of the committee's receipt of the contribution(s). Campaign committees that file electronically **MUST** submit their 48-hour notices electronically. See 11 CFR 104.5(f).

- Web Page: Electronic Filing Page
- Web Page: Link to Paper Forms (for downloading and printing)
- Form 6 Fax numbers
 - Senate campaigns (Secretary of the Senate): (202) 224-1851
 - House Campaigns (FEC): (202) 219-0174
- Campaign Guide: Candidate Guide, p. 80 [PDF]

COMPLIANCE

Treasurer Responsibility

Committee Treasurers are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time. See 11 CFR 104.14(d).

- Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings [PDF]
- Candidate Guide, p. 7 [PDF]

Administrative Fine Program

Political committees and their treasurers who fail to file their reports on time may be subject to civil money penalties up to \$17,600 (or more for repeat late- and non-filers).³

See 11 CFR 111.30.

- Web Page: Administrative Fine Program
- Candidate Guide, pp. 81-82 [PDF]

³ Penalties for late- or non-filing of 48-hour notices are based on the amount of contributions not timely disclosed. As a result, these penalties may exceed \$17,600, even for first-time violations.

DISCLOSURE OF LOBBYIST BUNDLING ACTIVITY

Campaign committees must file FEC Form 3L [PDF] if they receive two or more bundled contributions from lobbyists/registrants or lobbyist/registrant PACs that aggregate in excess of \$16,000 during the applicable reporting period (see page 1 of this notice). See 11 CFR 104.22 and 110.17(e).

- The Record: March 2009 issue [PDF]

2010 REPORTING SCHEDULE

- Web Page: 2010 Reporting Dates Page
- The Record: January 2010 issue [PDF]
- Candidate Guide, p. 79 [PDF]

IMPORTANT FILING INFORMATION - PAPER FILERS

In response to the 2001 anthrax threat, the U.S. Postal Service is irradiating mail directed to many federal agencies, including the FEC and the Secretary of the Senate.⁴ This process has not only delayed mail delivery, it has also damaged and in some cases destroyed pieces of mail. As a result, committees that file reports with the FEC may want to consider submitting their reports by some means other than U.S. mail. Alternative methods include electronic filing, overnight mail or delivery service, and hand delivery.

⁴ Senate committees should contact the Secretary of the Senate at (202) 224-0322 for more information on filing reports via US mail.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

February 7, 2011

RICHARD JOHN POLICE, TREASURER
CARDARELLI FOR CONGRESS
PO BOX 1305
BROOKLANDVILLE, MD 21022-1305

Response Due Date

03/14/2011

IDENTIFICATION NUMBER: C00480194

REFERENCE: 30 DAY POST-GENERAL REPORT (10/14/2010 - 11/22/2010)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 2 item(s):

1. Schedule A of your report indicates that your committee may have failed to file one or more of the required 48-hour notices regarding "last minute" contributions received by your committee after the close of books for the 12 Day Pre-General Report (see attached). A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. The failure to file 48-hour notices may result in civil money penalties or legal enforcement action. If any contribution of \$1,000 or more was incorrectly reported, you must amend your original report with the clarifying information. (11 CFR § 104.5(f))

2. Commission Regulations require that a committee discloses the identification of all individuals who contribute in excess of \$200 in an election cycle. (11 CFR § 104.3(a)(4)(i)) Identification for an individual is defined as the full name (initials are not acceptable), complete mailing address, occupation, and name of employer. (11 CFR § 100.12) Your report discloses contributions from individuals for which the identification is not complete.

The following employer and occupation entries appear on your report and are

Attachment 4

CARDARELLI FOR CONGRESS

Page 2 of 3

not considered acceptable: "Blank/Blank," "None/None," "None/Physician," "Not found/Can't find," and "Not found/Not found."

You must provide the missing information or clarify the information provided. If you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR § 104.7(b)(1)) See 11 CFR § 104.7(b)(1)(B) for examples of acceptable statements regarding the requirements of federal law.

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a request via mail, e-mail or telephone documented in writing. (11 CFR § 104.7(b)(2)) Follow-up requests should be done, if necessary. The requests must:

- clearly ask for the missing information, without soliciting a contribution;
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been reported, you should either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR § 104.7(b)(4))

Please amend your report to provide the missing information and a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide for Congressional Committees and Candidates.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action

Attachment 4

CARDARELLI FOR CONGRESS

Page 3 of 3

will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended.

If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1165.

Sincerely,



Rebecca Hough
Senior Campaign Finance and Reviewing Analyst
Reports Analysis Division

469

Missing 48-Hour Notices**Cardarelli for Congress (C00480194)****30 Day Post-General Report (10/14/10 - 11/22/10)****2010 General Election****48-Hour Notice Period (10/14/10 - 10/30/10)**

Contributor Name		Date	Amount	Election
Croft	Russel	10/18/2010	\$1,000.00	G2010
Williams	Lacy	10/18/2010	\$1,500.00	G2010
Croft	Kent	10/20/2010	\$1,000.00	G2010
Arenson	Terry	10/21/2010	\$1,000.00	P2010
Croft	Gordon	10/21/2010	\$2,000.00	G2010
Duff	Joseph	10/21/2010	\$2,400.00	G2010
Graul	Thomas	10/21/2010	\$1,000.00	P2010
Greebe	William	10/21/2010	\$2,400.00	G2010
Keelty	Brian	10/21/2010	\$1,000.00	G2010
Keelty	Michael	10/21/2010	\$2,000.00	G2010
Ousborne	Margaret	10/21/2010	\$1,000.00	P2010
Slevin	James	10/21/2010	\$1,000.00	G2010
The Society of Thoracic Surgeons		10/21/2010	\$5,000.00	G2010
Wyatt	Bernadine	10/21/2010	\$1,000.00	G2010
Heyman	Barry	10/24/2010	\$1,000.00	G2010
Kozel	Diane	10/25/2010	\$1,000.00	P2010
Wehberg	Kurt	10/26/2010	\$1,000.00	G2010
Cardarelli	Marcelo Gabriel	10/28/2010	\$15,000.00	G2010
Croft	Jane	10/28/2010	\$2,400.00	G2010
Mullan	John	10/29/2010	\$2,400.00	G2010
Mullan	Mary	10/29/2010	\$2,400.00	G2010
Mullan	Thomas	10/29/2010	\$2,400.00	G2010



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2011 MAY 19 1:26

SENSITIVE

May 19, 2011

MEMORANDUM

To: The Commission

Through: Alec Palmer *AP*
Acting Staff Director

From: Patricia Carmona *PC*
Chief Compliance Officer

Dayna C. Brown *DCB*
Reviewing Officer
Office of Administrative Review

Subject: Reviewing Officer Recommendation in AF# 2470 – Cardarelli for Congress
and Richard John Police, in his official capacity as Treasurer (C00480194)

The attached Reviewing Officer Recommendation is being circulated on an informational basis. A copy was also sent to the respondents in accordance with 11 C.F.R. § 111.36(f). The respondents may file with the Commission Secretary a written response within 10 days of transmittal of the recommendation.

After the 10 day period, the Reviewing Officer Recommendation and the respondents' written response, if any, will be circulated to the Commission to make a final determination.

Attachment



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 19, 2011

**REVIEWING OFFICER RECOMMENDATION
OFFICE OF ADMINISTRATIVE REVIEW ("OAR")**

AF# 2470 – Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer
(C00480194)

Summary of Recommendation

Make a final determination that the respondents violated 2 U.S.C. § 434(a) and assess a \$5,970 civil money penalty.

Reason-to-Believe Background

On April 7, 2011, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer ("the respondents"), violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for 22 contributions, including one Candidate loan, totaling \$50,900 for the 2010 General Election and made a preliminary determination that the civil money penalty was \$5,970 based on the schedule of penalties at 11 C.F.R. § 111.44. A letter, dated April 8, 2011, was mailed to the respondents' address of record from the Reports Analysis Division ("RAD") to notify them of the Commission's RTB finding and civil money penalty. The letter was returned to the Commission as undeliverable and subsequently successfully remailed on April 20, 2011.

Legal Requirements

The Federal Election Campaign Act ("Act") requires that the principal campaign committee of a candidate must notify the Commission, in writing, of any contribution of \$1,000 or more received after the 20th day but more than 48 hours before an election. The principal campaign committee must notify the Commission within 48 hours of receipt of the contribution. The 48-hour notification shall be in addition to all other reporting requirements under the Act. 2 U.S.C. § 434(a)(6)(A) and 11 C.F.R. § 104.5(f). The treasurer shall be personally responsible for the timely filing of reports. 11 C.F.R. § 104.14(d).

Respondents' Challenge

On May 6, 2011, the Commission's Office of Administrative Review received the written response ("challenge") from the Treasurer who states that he was a volunteer Treasurer for the losing campaign. Given that he had never done anything like this before, he had several conversations with his assigned Analyst, questioning everything that goes into the reports. The Treasurer states that he filed a Termination Report on March 24, 2011, there are no fund available to pay the fine.

Analysis

The respondents were notified of their obligation to file 48-Hour Notices more than two weeks before the 48-Hour Notice period began. Commission records show that on September 27,

2010, the General Election Report Notice, which includes the reporting requirements of 48-Hour Notices, was sent via email to "POLICRJ@AOL.COM," the email address disclosed on their Statement of Organization. Page three of the Notice explains that 48-Hour Notices are required if the Committee receives any contributions of \$1,000 or more per source, during the period of October 14 through October 30, 2010.

The challenge states that the Treasurer had countless conversations with his Reports Analyst regarding his reports. Commission records indicate that one such conversation occurred on October 13, the day before the 48-Hr Notice filing period began, when the Treasurer called the Reports Analyst to determine the dates of the next required reports. The Analyst navigated him to the Commission's website, where a copy of the Report Notice previously emailed to the respondents was located. She advised him of the upcoming deadlines for the 12 Day Pre-General and 30 Day Post-General Reports, as well as the corresponding 48-Hour Notices.

The challenge also asserts that the respondents filed a Termination Report on March 24, 2011, which was accepted by the Commission. Commission records reflect that the respondents filed a Termination Report on March 30, 2011; however, RAD sent the respondents a letter, dated April 14, 2011, informing them of their inability to terminate due to this outstanding administrative fines matter.

Negligence, failure to know filing dates, and inexperience of the treasurer are included at 11 C.F.R. § 111.35(d) as examples of circumstances that are not considered reasonably unforeseen and beyond the respondents' control. Their challenge fails to address any of the three valid grounds for challenging enumerated at 11 C.F.R. § 111.35(b). These are: (i) the RTB finding is based on factual errors; and/or (ii) the improper calculation of the civil money penalty; and/or (iii) they used best efforts to file on time but were prevented from doing so by reasonably unforeseen circumstances that were beyond their control and they filed the report no later than 24 hours after the end of these circumstances. The other issues raised in the challenge (the closing of the Committee's back account; lack of funds to pay the fine; and the Treasurer's personal circumstances), also do not fall within the list of valid grounds at 11 C.F.R. § 111.35(b). The Reviewing Officer, therefore, recommends that the Commission make a final determination that the respondents violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$5,970.

OAR Recommendations

- (1) Adopt the Reviewing Officer recommendation for AF# 2470 involving Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer, in making the final determination;
- (2) Make a final determination in AF# 2470 that Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$5,970; and
- (3) Send the appropriate letter.

Reviewing Officer: Dayna C. Brown

Attachments

Attachment 1

Attachment 2

Attachment 3 – Declaration from OAR

DECLARATION OF DAYNA C. BROWN

- 1) I am the Reviewing Officer in the Office of Administrative Review for the Federal Election Commission ("Commission"). In my capacity as Reviewing Officer, I conduct research with respect to all challenges submitted in accordance with the Administrative Fine program.
- 2) The principal campaign committee of a congressional candidate must file notifications disclosing contributions of \$1,000 or more which are received between October 14 and October 30, 2010. These notifications (also called 48-Hour Notices) must be filed with the Federal Election Commission within 48 hours of the committee's receipt of the contribution(s).
- 3) It is the practice of the Reports Analysis Division to document all calls to or from committees regarding a mailgram they receive or any questions relating to the FECFile software or administrative fine regulations including due dates of reports and filing requirements.
- 4) I hereby certify that I have searched the Commission's public records and that the documents identified herein are the true and accurate copies of:
 - a) Page 1 of the Statement of Organization filed by Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer. According to the Commission's records, the document is dated June 1, 2010, was received June 7, 2010, and lists "policrj@aol.com" as the Committee's email address; and
 - b) Page 1 of the Summary Page and 14 pages of Schedule A for the 2010 30 Day Post-General Report electronically filed by Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer. According to the Commission's records, the report covers the period from October 14 through November 22, 2010 and was received on November 30, 2010. Schedule A lists the receipt of 22 contributions totaling \$50,900.00 on October 18, 20, 21, 24, 25, 26, 28, and 29. Each contribution equals \$1,000 or more; and
 - c) Page 1 of the Summary Page for the Termination Report electronically filed by Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer. According to the Commission's records, the report covers the period from January 1 through March 23, 2011, and was received on March 30, 2011.

Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed at Washington on the 19th of May, 2011.



Dayna C. Brown
Reviewing Officer
Office of Administrative Review
Federal Election Commission

RECEIVED
FEC MAIL CENTER

2010 JUN -7 AM 11:30

FEC
FORM 1

STATEMENT OF ORGANIZATION

Office Use Only

1. NAME OF
COMMITTEE (in full)



(Check if name
is changed)

Example: If typing, type
over the lines.

12FE4M5

Kardarelli For Congress

ADDRESS (number and street)

P.O. Box 1305



(Check if address
is changed)

Brooklandville

MD

21022-1305

CITY

STATE

ZIP CODE

COMMITTEE'S E-MAIL ADDRESS (Please provide only one e-mail address)



(Check if address
is changed)

poli.cr.j@aol.com

COMMITTEE'S WEB PAGE ADDRESS (URL)



(Check if address
is changed)

www.kardarelliforcongress.com

2. DATE

06

01

2010

3. FEC IDENTIFICATION NUMBER

C00480194

4. IS THIS STATEMENT



NEW (N)

OR



AMENDED (A)

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Richard John Police

Signature of Treasurer

Richard J. Police

Date

06

01

2010

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g.

ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

Office
Use
Only

For further information contact:
Federal Election Commission
Toll Free 800-424-9530
Local 202-694-1100

FEC FORM 1
(Revised 02/2009)

**FEC
FORM 3****REPORT OF RECEIPTS
AND DISBURSEMENTS**

For An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)USE FEC MAILING LABEL
OR TYPE OR PRINTExample: If typing, type
over the lines

Cardarelli For Congress

ADDRESS (number and street)

P.O. Box 1305

Check if different
than previously
reported. (ACC)

Brooklandville

MD

21022

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

STATE DISTRICT

C00480194

3. IS THIS
REPORT

X

NEW
(N)

OR

AMENDED
(A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

Termination Report (TER)

(b) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Convention (12C)

Special (12S)

Election on

in the
State of

(c) 30-Day POST-Election Report for the:

X

General (30G)

Runoff (30R)

Special (30S)

Election on

1 1

0 2

2 0 1 0

in the
State of

MD

5. Covering Period 10 14 2010 through 11 22 2010

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Richard John Police

Signature of Treasurer Electronically Filed by Richard John Police Date 11 30 2010

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3**
(Revised 02/2003)

FE5AN018

SCHEDULE A (FEC Form 3) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 33

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Terry Arenson

Mailing Address 9 Silver Stirrup Ct.

City

Timonium

State

MD

Zip Code

21093

FEC ID number of contributing
federal political committee.

C

Name of Employer
noneOccupation
none

Receipt For: 2010

X Primary General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M M D Y Y Y Y
 10 21 2010

Transaction ID: SA11AI.4613

Amount of Each Receipt this Period

1000.00

contribution

B.

Full Name (Last, First, Middle Initial)

Andrew Bernstein

Mailing Address 2522 Chestnut Woods Ct.

City

Reisterstown

State

MD

Zip Code

21136

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

M M D Y Y Y Y
 10 30 2010

Transaction ID: SA11AI.4762

Amount of Each Receipt this Period

250.00

individual contribution

C.

Full Name (Last, First, Middle Initial)

Thomas Booth

Mailing Address 4 Montrose Ave

City

Catonsville

State

MD

Zip Code

21228

FEC ID number of contributing
federal political committee.

C

Name of Employer
Booth PropertiesOccupation
Owner

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

500.00

Date of Receipt

M M D Y Y Y Y
 10 15 2010

Transaction ID: SA11AI.4665

Amount of Each Receipt this Period

500.00

Contribution

SUBTOTAL of Receipts This Page (optional) ▶

1750.00

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 8 / 33
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Vasaura Chearvechai

Mailing Address 9549 Bella Citta St.

City

Las Vegas

State

NV

Zip Code

89178

FEC ID number of contributing
federal political committee.

C

Name of Employer
noneOccupation
Physician

Receipt For: 2010

Primary ☒ General

Other (specify) ▼

Election Cycle-to-Date ▼

1100.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	1	7	2	0	1	0

Transaction ID: SA11AI.4639

Amount of Each Receipt this Period

100.00

Contribution

B.

Full Name (Last, First, Middle Initial)

Christopher Costa

Mailing Address 1206 Clearfield Circle

City

Lutherville

State

MD

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer
noneOccupation
none

Receipt For: 2010

Primary ☒ General

Other (specify) ▼

Election Cycle-to-Date ▼

500.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11AI.4621

Amount of Each Receipt this Period

500.00

Contribution

C.

Full Name (Last, First, Middle Initial)

Gordon Croft

Mailing Address 7303 Club Rd.

City

Baltimore

State

MD

Zip Code

21204

FEC ID number of contributing
federal political committee.

C

Name of Employer
noneOccupation
none

Receipt For: 2010

Primary ☒ General

Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11AI.4605

Amount of Each Receipt this Period

2000.00

contribution

SUBTOTAL of Receipts This Page (optional) ▶

2600.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

 FOR LINE NUMBER: PAGE 9 / 33
 (check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Jane Croft

Mailing Address 7503 Club Rd.

City

Baltimore

State

MD

Zip Code

21204

FEC ID number of contributing federal political committee.

C

Name of Employer

Occupation

Receipt For: 2010

Primary ☐ General ☒

Other (specify) ▼

Election Cycle-to-Date ▼

2400.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	8	2	0	1	0

Transaction ID: SA11AI.4760

Amount of Each Receipt this Period

2400.00

individual contribution

B.

Full Name (Last, First, Middle Initial)

Kent Croft

Mailing Address 7826 Chelsea St.

City

Ruxton

State

MD

Zip Code

21204

FEC ID number of contributing federal political committee.

C

Name of Employer
not foundOccupation
not found

Receipt For: 2010

Primary ☐ General ☒

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	0	2	0	1	0

Transaction ID: SA11AI.4698

Amount of Each Receipt this Period

1000.00

contribution

C.

Full Name (Last, First, Middle Initial)

Russel Croft

Mailing Address 20 Meadow Rd.

City

Baltimore

State

MD

Zip Code

21212

FEC ID number of contributing federal political committee.

C

Name of Employer
noneOccupation
none

Receipt For: 2010

Primary ☐ General ☒

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	1	8	2	0	1	0

Transaction ID: SA11AI.4607

Amount of Each Receipt this Period

1000.00

Contribution

SUBTOTAL of Receipts This Page (optional) ▶

4400.00

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 10 / 33
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Charlotte Downey

Mailing Address 205 E. Joppa Rd. #2206

City

State

Zip Code

Towson

FEC ID number of contributing
federal political committee.

C

Name of Employer
noneOccupation
none

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

500.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	1	6	2	0	1	0

Transaction ID: SA11AI.4637

Amount of Each Receipt this Period

500.00

contribution

B.

Full Name (Last, First, Middle Initial)

Joseph Duff

Mailing Address 3923 Twin Arch Rd

City

State

Zip Code

Mt. Airy

MD

21771

FEC ID number of contributing
federal political committee.

C

Name of Employer
RT 70 Auto RecyclingOccupation
Owner

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

2400.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11AI.4674

Amount of Each Receipt this Period

2400.00

contribution

C.

Full Name (Last, First, Middle Initial)

Michael Fiocco

Mailing Address 2958 Poland Springs Dr.

City

State

Zip Code

Elicott City

MD

21042

FEC ID number of contributing
federal political committee.

C

Name of Employer
SelfOccupation
Physician

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

750.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11AI.4642

Amount of Each Receipt this Period

250.00

contribution

SUBTOTAL of Receipts This Page (optional) ▶

3150.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 33
 (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Stephen Funk

Mailing Address 2462 Ellis Rd.

City

Parkville

State

MD

Zip Code

21234

FEC ID number of contributing
federal political committee.

C

Name of Employer

Bith

Occupation

Software Consultant

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

300.00

Date of Receipt

M M / D D Y Y Y Y
 10 18 2010

Transaction ID: SA11AI.4719

Amount of Each Receipt this Period

100.00

individual contribution

B.

Full Name (Last, First, Middle Initial)

Lee Gaines

Mailing Address 6 Bowen Mill Rd

City

Baltimore

State

MD

Zip Code

21212

FEC ID number of contributing
federal political committee.

C

Name of Employer

Gaines & Co

Occupation

Contractor

Receipt For: 2010

X Primary General

Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

M M / D D Y Y Y Y
 10 15 2010

Transaction ID: SA11AI.4654

Amount of Each Receipt this Period

250.00

contribution

C.

Full Name (Last, First, Middle Initial)

Thomas Graul

Mailing Address 220 Mt. Carmel Rd

City

Prkton

State

MD

Zip Code

21120

FEC ID number of contributing
federal political committee.

C

Name of Employer

none

Occupation

nonw

Receipt For: 2010

X Primary General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M M / D D Y Y Y Y
 10 21 2010

Transaction ID: SA11AI.4611

Amount of Each Receipt this Period

1000.00

contribution

SUBTOTAL of Receipts This Page (optional) ▶

1350.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 33

(check only one)

X: 11a ☐ 11b ☐ 11c ☐ 11d ☐
 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15 ☐

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

William Greebe

Mailing Address 15 Springhill Farm Ct.

City

Cockeysville

State

MD

Zip Code

21030

FEC ID number of contributing
federal political committee.

C

Name of Employer
none

Occupation
none

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

2400.00

Date of Receipt

M M / D D Y Y Y Y
10 / 21 2010

Transaction ID: SA11AI.4609

Amount of Each Receipt this Period

2400.00

contribution

B.

Full Name (Last, First, Middle Initial)

Barry Heyman

Mailing Address 127 E. Montgomery St.

City

Baltimore

State

MD

Zip Code

21230

FEC ID number of contributing
federal political committee.

C

Name of Employer
Not found

Occupation
can't find

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M M / D D Y Y Y Y
10 / 24 2010

Transaction ID: SA11AI.4676

Amount of Each Receipt this Period

1000.00

individual contribution

C.

Full Name (Last, First, Middle Initial)

Laurance Hill

Mailing Address 100 Harborview Dr. #27B

City

Baltimore

State

MD

Zip Code

21230

FEC ID number of contributing
federal political committee.

C

Name of Employer
None

Occupation
none

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M M / D D Y Y Y Y
10 / 20 2010

Transaction ID: SA11AI.4692

Amount of Each Receipt this Period

500.00

contribution

SUBTOTAL of Receipts This Page (optional) ▶

3900.00

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 13 / 33
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Nancy Jones

Mailing Address 643 Budleigh Circle

City

Timonium

State

MD

Zip Code

21093

FEC ID number of contributing
federal political committee.

C

Name of Employer
not foundOccupation
not found

Receipt For:

2010

Primary

X General

Other (specify) ▼

Election Cycle-to-Date ▼

400.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	5	2	0	1	0

Transaction ID: SA11AI.4680

Amount of Each Receipt this Period

400.00

individual contribution

B.

Full Name (Last, First, Middle Initial)

Brian Keelly

Mailing Address 205 E. Joppa Rd

City

Towson

State

MD

Zip Code

21286

FEC ID number of contributing
federal political committee.

C

Name of Employer
noneOccupation
none

Receipt For:

2010

Primary

X General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11AI.4619

Amount of Each Receipt this Period

1000.00

contribution

C.

Full Name (Last, First, Middle Initial)

Michael Keelly

Mailing Address 1925 Old Valley Rd.

City

Stevenson

State

MD

Zip Code

21153

FEC ID number of contributing
federal political committee.

C

Name of Employer
Joppa Valley Rd. RealtyOccupation
Realtor

Receipt For:

2010

Primary

X General

Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11AI.4617

Amount of Each Receipt this Period

2000.00

contribution

SUBTOTAL of Receipts This Page (optional) ▶

3400.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 33

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Diane Kozel

Mailing Address 4720 Water Park Dr., Unit S

City

Belcamp

State

MD

Zip Code

21017

FEC ID number of contributing federal political committee.

C

Name of Employer
retiredOccupation
retired

Receipt For: 2010

X Primary General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

 M M D D Y Y Y Y
 10 25 2010

Transaction ID: SA11AI.4678

Amount of Each Receipt this Period

1000.00

individual contribution

B.

Full Name (Last, First, Middle Initial)

Silvia Martino

Mailing Address 18745 SW 78 Ct.

City

Cutler Bay

State

FL

Zip Code

33157

FEC ID number of contributing federal political committee.

C

Name of Employer
selfOccupation
Office Manager

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

900.00

Date of Receipt

 M M D D Y Y Y Y
 10 31 2010

Transaction ID: SA11AI.4745

Amount of Each Receipt this Period

500.00

individual contribution

C.

Full Name (Last, First, Middle Initial)

John Mullan

Mailing Address 1427 Clarkview Rd., Ste. 500

City

Baltimore

State

MD

Zip Code

21209

FEC ID number of contributing federal political committee.

C

Name of Employer
retiredOccupation
retired

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

2400.00

Date of Receipt

 M M D D Y Y Y Y
 10 29 2010

Transaction ID: SA11AI.4756

Amount of Each Receipt this Period

2400.00

individual contribution

SUBTOTAL of Receipts This Page (optional) ▶

3900.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 33

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Mary Mullan

Mailing Address 1620 Cold Bottom Rd.

City

Sparks

State

MD

Zip Code

21152

FEC ID number of contributing
federal political committee.

C

Name of Employer
retired

Occupation
retired

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

2400.00

Date of Receipt

M M D D Y Y Y Y
10 29 2010

Transaction ID: SA11AI.4754

Amount of Each Receipt this Period

2400.00

individual contribution

B.

Full Name (Last, First, Middle Initial)

Thomas Mullan

Mailing Address 2330 W. Joppa Rd., Suite 210

City

Lutherville

State

MD

Zip Code

21093

FEC ID number of contributing
federal political committee.

C

Name of Employer
retired

Occupation
retired

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

2400.00

Date of Receipt

M M D D Y Y Y Y
10 29 2010

Transaction ID: SA11AI.4758

Amount of Each Receipt this Period

2400.00

individual contribution

C.

Full Name (Last, First, Middle Initial)

Michael Murphy

Mailing Address 3 Bertwell Ct.

City

Timonium

State

MD

Zip Code

21093

FEC ID number of contributing
federal political committee.

C

Name of Employer
none

Occupation
none

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

500.00

Date of Receipt

M M D D Y Y Y Y
10 21 2010

Transaction ID: SA11AI.4623

Amount of Each Receipt this Period

500.00

contribution

SUBTOTAL of Receipts This Page (optional) ▶

5300.00

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 33

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Margaret Ousborne

Mailing Address 1109 Chester Rd.

City

Baltimore

State

MD

Zip Code

21220

FEC ID number of contributing
federal political committee.

C

Name of Employer
not foundOccupation
not found

Receipt For: 2010

X Primary General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11AI.4696

Amount of Each Receipt this Period

1000.00

contribution

B.

Full Name (Last, First, Middle Initial)

Mubadda Salim

Mailing Address 3004 Seneca Chief Trail

City

Ellicott City

State

MD

Zip Code

21042

FEC ID number of contributing
federal political committee.

C

Name of Employer
noneOccupation
Physician

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

350.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11AI.4649

Amount of Each Receipt this Period

100.00

contribution

C.

Full Name (Last, First, Middle Initial)

James Slevin

Mailing Address 12246 Roundwood Rd., Unit 108

City

Lutherville

State

MD

Zip Code

21093

FEC ID number of contributing
federal political committee.

C

Name of Employer
not foundOccupation
not found

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11AI.4694

Amount of Each Receipt this Period

1000.00

contribution

SUBTOTAL of Receipts This Page (optional) ▶

2100.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 33

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d	<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14	<input type="checkbox"/> 15
---	------------------------------	------------------------------	------------------------------	-----------------------------	------------------------------	------------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Edward Vogel

Mailing Address 3417 Eastern Blvd.

City

Middle River

State

MD

Zip Code

21220

FEC ID number of contributing federal political committee.

C

Name of Employer
Bengies Drive in Theatre

Occupation

Owner

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

300.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	1	7	2	0	1	0

Transaction ID: SA11AI.4669

Amount of Each Receipt this Period

300.00

contribution

B.

Full Name (Last, First, Middle Initial)

Kurt Wehberg

Mailing Address 28282 Merlin Court

City

Princess Anne

State

MD

Zip Code

21853

FEC ID number of contributing federal political committee.

C

Name of Employer
PRMC

Occupation

cardiac surgeon

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	6	2	0	1	0

Transaction ID: SA11AI.4736

Amount of Each Receipt this Period

1000.00

individual contribution

C.

Full Name (Last, First, Middle Initial)

Lacy Williams

Mailing Address Suite 1200

City

Shreveport

State

LA

Zip Code

71101

FEC ID number of contributing federal political committee.

C

Name of Employer
retired

Occupation

physician

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

1500.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	1	8	2	0	1	0

Transaction ID: SA11AI.4718

Amount of Each Receipt this Period

1500.00

Individual Contribution

SUBTOTAL of Receipts This Page (optional) ▶

2800.00

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

 Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 33

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

Cardarelli For Congress

Full Name (Last, First, Middle Initial)

Bernadine Wyatt

Mailing Address 1218 Clearfield Circle

City

Lutherville

State

MD

Zip Code

21093

FEC ID number of contributing
federal political committee.

C

Name of Employer
noneOccupation
none

Receipt For: 2010

Primary X General

Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

 M M D D Y Y Y Y
 1 0 2 1 2 0 1 0

Transaction ID: SA11AI.4615

Amount of Each Receipt this Period

1000.00

contribution

SUBTOTAL of Receipts This Page (optional) ▶

1000.00

TOTAL This Period (last page this line number only) ▶

35650.00

10931842526

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 33

(check only one)

11a	<input type="checkbox"/>	11b	<input checked="" type="checkbox"/>	11c	<input type="checkbox"/>	11d	<input type="checkbox"/>
12	<input type="checkbox"/>	13a	<input type="checkbox"/>	13b	<input type="checkbox"/>	14	<input type="checkbox"/>

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NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

The Society of Thoracic Surgeons

 Mailing Address 1025 Connecticut Ave., NW
 Suite 1104

City	State	Zip Code
Washington	DC	20036

 FEC ID number of contributing
 federal political committee. **C** C00325936

Name of Employer

Occupation

 Receipt For: 2010
 Primary ☒ General
 Other (specify) ▼

 Election Cycle-to-Date ▼
 7000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	2	1	2	0	1	0

Transaction ID: SA11C.4603

 Amount of Each Receipt this Period
 5000.00
PAC Gen election contribu-
tion

SUBTOTAL of Receipts This Page (optional)	5000.00
TOTAL This Period (last page this line number only)	5000.00

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 33

(check only one)

 11a ☐ 11b ☐ 11c ☐ 11d ☐
 12 ☒ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

Cardarelli For Congress

A.

Full Name (Last, First, Middle Initial)

Marcelo Gabriel Cardarelli

Mailing Address 10941 Falls Rd.

City

Lutherville

State

MD

Zip Code

21093

FEC ID number of contributing
federal political committee.

C H0md02149

Name of Employer
The University of MarylandOccupation
Pediatric Cardiac Surgeon
 Receipt For: 2010
 Primary ☒ General
 Other (specify) ▼

Election Cycle-to-Date ▼

135562.54

Date of Receipt

 M M D D Y Y Y Y
 1 0 2 8 2 0 1 0

Transaction ID: SA13A.4715

Amount of Each Receipt this Period

15000.00

Candidate loan

SUBTOTAL of Receipts This Page (optional) ▶

15000.00

TOTAL This Period (last page this line number only) ▶

15000.00

**FEC
FORM 3****REPORT OF RECEIPTS
AND DISBURSEMENTS**

For An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)USE FEC MAILING LABEL
OR TYPE OR PRINT ▼Example: If typing, type
over the lines

Cardarelli For Congress

ADDRESS (number and street)

P.O. Box 1305

Check if different
than previously
reported. (ACC)

Brooklandville

MD

21022

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

STATE ▼ DISTRICT

C00480194

3. IS THIS
REPORT

X

NEW
(N)

OR

AMENDED
(A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

X Termination Report (TER)

(b) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Convention (12C)

Special (12S)

Election on

in the
State of

(c) 30-Day POST-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

in the
State of

5. Covering Period 01 01 2011 through 03 23 2011

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Richard John Police

Signature of Treasurer Electronically Filed by Richard John Police

Date 03 24 2011

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3**
(Revised 02/2003)

FE5AN018



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

VIA OVERNIGHT DELIVERY

May 19, 2011

Richard John Police, in official capacity as Treasurer
Cardarelli for Congress

Middletown, MD 21769

C00480194
AF# 2470

Dear Mr. Police:

On April 7, 2011, the Federal Election Commission ("Commission") found reason to believe ("RTB") that Cardarelli for Congress and you, in your official capacity as Treasurer ("respondents"), violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for 22 contributions totaling \$50,900 for the 2010 General Election. The Commission also made a preliminary determination that the civil money penalty was \$5,970 based on the schedule of penalties at 11 C.F.R. § 111.44.

After reviewing the written response and any supplemental information submitted by you and Commission staff, the Reviewing Officer has recommended that the Commission make a final determination in this matter. A copy of the Reviewing Officer's recommendation is attached.

You may file with the Commission Secretary a written response to the recommendation within 10 days of the date of this letter. Your written response should be sent to the Commission Secretary, 999 E Street, NW, Washington, DC 20463. As a result of the anthrax threat in the Washington, DC area, US Postal Service mail delivery to federal agencies, including the Commission, has been interrupted. Until regular mail delivery resumes, you may also file your written response with the Commission Secretary via facsimile (202-208-3333) or by courier at the same address (if you use an overnight delivery service, please use zip code 20004 instead of zip code 20463). Please include the AF # in your response. Your response may not raise any arguments not raised in your original written response or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). The Commission will then make a final determination in this matter.

Please contact me at the toll free number 800-424-9530 (press 0, then press 1660) or 202-694-1660 if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Dayna C. Brown".

Dayna C. Brown
Reviewing Officer
Office of Administrative Review

Attachment

William B. Canfield III

Attorney at Law

Suite 600

1900 M Street, N.W.

Washington, D.C. 20036

(202) 530-3332

10:05:02

May 26, 2011

**Commission Secretary
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463**

**Re: AF# 2470
Cardarelli for Congress
C00480194**

Commissioners:

Enclosed please find an executed copy of a "Statement of Designation of Counsel" in the above captioned matter. Please refer all future communications involving this matter directly to me at the above listed address. The Treasurer of the Cardarelli for Congress Committee has retained me to assist him in a resolution of this issue. In that context, I ask the Commission whether the Alternative Dispute Resolution process might be available to the Treasure at this procedural stage? I would appreciate your early response to this question.

Sincerely,



William B. Canfield III

Counsel to Richard J. Police, Treasurer

Attachment: 1

STATEMENT OF DESIGNATION OF COUNSELAP #: 2470NAME OF COUNSEL: William Canfield

FIRM: _____

ADDRESS: William B. Canfield IIISuite 6001900 M STREET, N.W.WASHINGTON, D.C. 20036TELEPHONE: (202) 530-3332

FAX: () _____

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission in the matter of the aforementioned Administrative Fine.

5/26/11

Date

R. J. Police

Signature

RESPONDENT'S NAME: Richard J. PoliceCOMMITTEE NAME: Cardarelli For Congress

ADDRESS: _____

Middletown, MD 21769

TELEPHONE: HOME: _____

BUSINESS: () _____



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

June 15, 2011

MEMORANDUM

To: The Commission

Through: Alec Palmer
Acting Staff Director

From: Patricia Carmona
Chief Compliance Officer

Dayna C. Brown *DCB*
Reviewing Officer
Office of Administrative Review

Subject: Final Determination Recommendation in AF# 2470 – Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer (C00480194)

On April 7, 2011, the Commission found reason to believe ("RTB") that the respondents violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for 22 contributions, including one Candidate loan, totaling \$50,900 for the 2010 General Election and also made a preliminary determination that the civil money penalty was \$5,970 based on the schedule of penalties at 11 C.F.R. § 111.44.

On May 6, 2011, the Commission received their written response ("challenge"). After reviewing the challenge, the Reviewing Officer's recommendation ("ROR") dated May 19, 2011 was forwarded to the Commission, a copy was forwarded to the respondents, and is hereby incorporated by reference. The Reviewing Officer recommended that the Commission make a final determination that they violated 2 U.S.C. § 434(a) and assess a \$5,970 civil money penalty because they submitted no evidence that a factual error was made in the RTB finding, that the penalty was miscalculated at RTB or that they used best efforts to file on time. 11 C.F.R. § 111.35(b).

Within 10 days of transmittal of the recommendation, they may file a written response with the Commission Secretary which may not raise any arguments not raised in their challenge or not directly responsive to the ROR. 11 C.F.R. § 111.36(f).

On May 26, 2011, the Commission received a Statement of Designation of Counsel from the Treasurer, designating William B. Canfield, III, Counsel in the matter going forward. Included with the Statement of Designation is a letter from Mr. Canfield inquiring as to whether the Alternative Dispute Resolution ("ADR") process might be available to the Treasurer. The administrative fine regulations do not provide for a challenge to be processed through ADR; instead, the regulations at 11 C.F.R. § 111.38 explain that the respondents may submit a written

petition in U.S. District Court if they would like to appeal the Commission's final determination. This information was communicated to the respondents' Counsel in a June 13 telephone conversation.

OAR Recommendations

- (1) Adopt the Reviewing Officer recommendation for AF# 2470 involving Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer, in making the final determination;
- (2) Make a final determination in AF# 2470 that Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$5,970; and
- (3) Send the appropriate letter.

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Final Determination Recommendation:) AF 2470
Cardarelli for Congress and Richard John)
Police, in his official capacity as)
Treasurer (C00480194))

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on June 30, 2011, the Commission decided by a vote of 6-0 to take the following actions in AF 2470:

1. Adopt the Reviewing Officer recommendation for AF# 2470 involving Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer, in making the final determination.
2. Make a final determination in AF# 2470 that Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$5,970.
3. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

July 1, 2011
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

July 7, 2011

VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED

William B. Canfield, III
Cardarelli for Congress
Suite 600
1900 M Street, N.W.
Washington, DC 20036

C00480194
AF# 2470

Dear Mr. Canfield:

On April 7, 2011, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) for failing to timely file 48-Hour Notices for 22 contributions totaling \$50,900 for the 2010 General Election. By letter dated April 8, 2011, the Commission sent your clients notification of the RTB finding that included a civil money penalty calculated at RTB of \$5,970 in accordance with the schedule of penalties at 11 C.F.R. § 111.44. On May 6, 2011, the Office of Administrative Review received the Treasurer's written response, challenging the RTB finding.

The Reviewing Officer reviewed the Commission's RTB finding with its supporting documentation and the written response. Based on this review, the Reviewing Officer recommended that the Commission make a final determination that Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty in the amount of \$5,970 in accordance with 11 C.F.R. § 111.44. The basis for the Reviewing Officer's recommendation was included in the Final Determination Report, a copy of which was sent to the Treasurer on May 19, 2011.

On June 30, 2011, the Commission adopted the Reviewing Officer's recommendation and made a final determination that Cardarelli for Congress and Richard John Police, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and assessed a civil money penalty of \$5,970. It is based on the same factors used to calculate the civil money penalty at RTB. A copy of the final determination recommendation is attached.

If You Choose to Appeal the Final Determination and/or Civil Money Penalty

If you choose to appeal the final determination, you should submit a written petition, within 30 days of receipt of this letter, to the district court of the United States for the district in which the committee or treasurer reside, or transact business, requesting that the final determination be modified or set aside. See 2 U.S.C. § 437g(a)(4)(C)(iii). The failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of the respondents' right to present such argument in a petition to the district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If You Choose Not to Pay the Civil Money Penalty and Not to Appeal

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996 ("DCIA"), 31 U.S.C. § 3701 et seq. If you do not pay this debt within 30 days (or file a written petition to a federal district court - see below), the Commission will transfer the debt to the U.S. Department of the Treasury ("Treasury") for collection. Within 5 days of the transfer to Treasury, Treasury will contact the debtor and request payment. Treasury currently charges a fee of 28% of the civil money penalty amount for its collection services. The fee will be added to the amount of the civil money penalty that you owe. Should Treasury's attempts fail, Treasury will refer the debt to a private collection agency ("PCA"). If the debt remains unpaid, Treasury may recommend that the Commission refer the matter to the Department of Justice for litigation.

If You Choose to Pay the Civil Money Penalty

If you should decide to pay the civil money penalty, send the enclosed form and payment to the address on page 3 within 30 days of receipt of this letter.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within 30 days from the date of the Commission's notification, this could occur at any time following certification of the Commission's vote.

If you have any questions regarding the payment of the civil money penalty, please contact Dayna Brown on our toll free number (800)424-9530 (press 0, then ext. 1660) or (202)694-1660.

On behalf of the Commission,



Cynthia L. Bauerly
Chair

Attachment

ADMINISTRATIVE FINE PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the civil money penalty is \$5,970 for 2010 48-Hour Notices.

This penalty should be paid by check or money order, made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission
PO Box 979058
St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC # 979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The form and payment are due within 30 days of receipt of this letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTs). Your account will be electronically debited for the amount on the check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Cardarelli for Congress

FEC ID#: C00480194

AF#: 2470

PAYMENT AMOUNT DUE: \$5,970

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 004 \$ 0000012500 BA# 1 09-06-11 20 4



RICHARD J. POLICE
MIDDLETOWN, MD. 21769-7850

7-11 520 3736

DATE 9/1/11

PAY TO THE ORDER OF Federal Election Commission \$ 125.00

one Hundred Twenty Five & 00/100 DOLLARS

M&T Bank
Golden Mile Office

MEMO AF# 2470

[Signature]

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000012000 BA# 1 10-06-11 20 4



RICHARD J. POLICE
MIDDLETOWN, MD. 21769-7850

7-11 520 3747

DATE 10/5/2011

PAY TO THE ORDER OF Federal Election Commission \$ 120.00

one Hundred Twenty & 00/100 DOLLARS

M&T Bank
Golden Mile Office

MEMO AF# 270

[Signature]

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000012000 BA# 1 11-14-11 20 4



RICHARD J. POLICE
MIDDLETOWN, MD 21769-7850

7-11 520 3755

DATE 11/8/2011

PAY TO THE ORDER OF FEC \$ 120.00

one Hundred Twenty & 00/100 DOLLARS

M&T Bank
Golden Mile Office

MEMO AF# 2470

[Signature]

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000012000 BA# 1 12-19-11 20 3



usbankcorp.
Five Star Service Guaranteed

RICHARD J. POLICE
MIDDLETOWN, MD - 21769-7850
DATE 12/8/2011
3760

PAY TO THE ORDER OF FEC
One Hundred & Twenty \$ 120.00
DOLLARS ☒ M&T Select

M&T Bank
Golden Mile Office
MEMO # AF 2470

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000012000 BA# 1 01-30-12 20 4



usbankcorp.
Five Star Service Guaranteed

RICHARD J. POLICE
MIDDLETOWN, MD - 21769-7850
DATE 1/22/2012
3835

PAY TO THE ORDER OF Federal Election Commission
One Hundred Twenty \$ 120.00
DOLLARS ☒ M&T Select

M&T Bank
Golden Mile Office
MEMO # AF 2470

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000012000 BA# 1 03-19-12 20 4



usbankcorp.
Five Star Service Guaranteed

RICHARD J. POLICE
MIDDLETOWN, MD - 21769-7850
DATE 3/12/2012
3850

PAY TO THE ORDER OF FEC
One Hundred Twenty \$ 120.00
DOLLARS ☒ M&T Select

M&T Bank
Golden Mile Office
MEMO # 2470

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 003 \$ 0000012000 BA# 1 06-04-12 20 4



RICHARD J. POLICE
MIDDLETOWN, MD 21769-7850

7-11 520 3863

DATE 5/29/2012

PAY TO THE ORDER OF FEC \$ 120.00

One Hundred Twenty & 00/100 DOLLARS

M&T Bank
Golden Mile Office

MEMO AF # 2470

[Signature]

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000012000 BA# 1 09-10-12 20 9



RICHARD J. POLICE
MIDDLETOWN, MD 21769-7850

7-11 520 3912

DATE 9/7/2012

PAY TO THE ORDER OF FEC \$ 120.00

One Hundred Twenty & 00/100 DOLLARS

M&T Bank
Golden Mile Office

MEMO AF # 2470

[Signature]

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000012000 BA# 1 10-18-12 20 4



RICHARD J. POLICE
MIDDLETOWN, MD 21769-7850

7-11 520 3923

DATE 10/10/2012

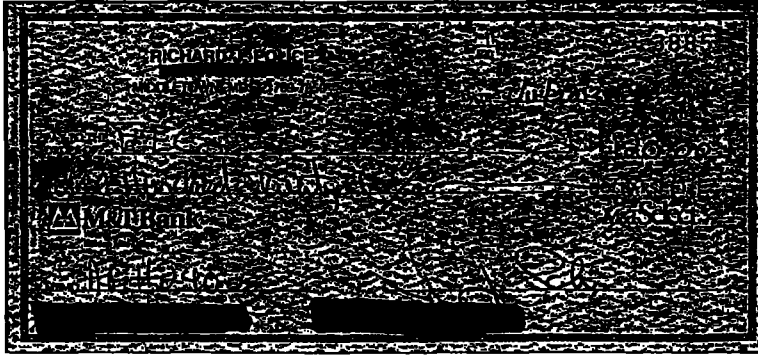
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One Hundred Twenty & 00/100 DOLLARS

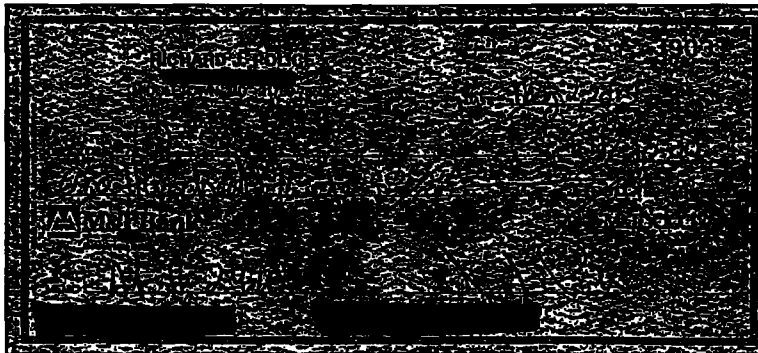
M&T Bank
Golden Mile Office

MEMO # 2470

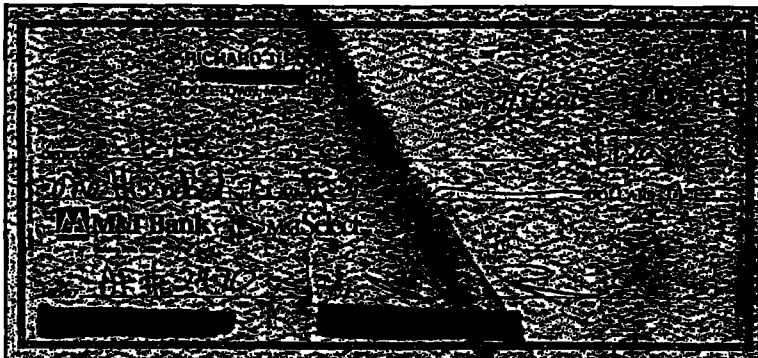
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(314) 425-1818

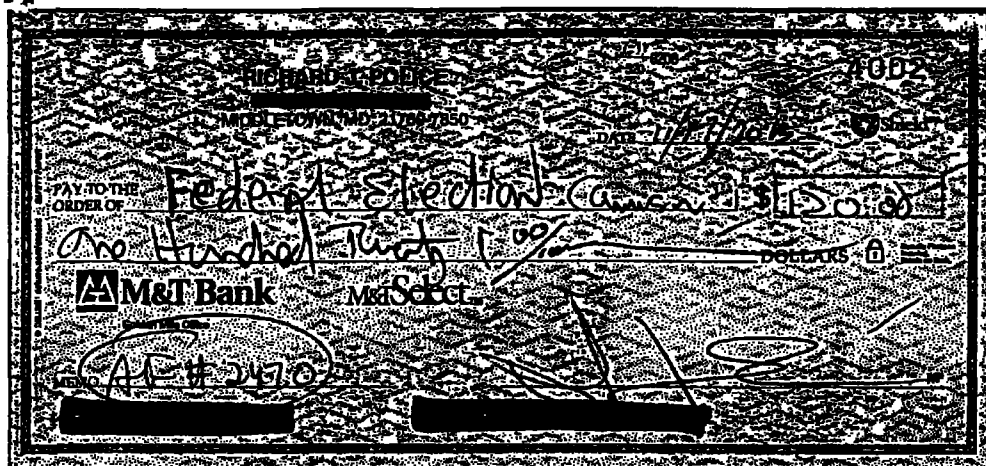
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usbank.St. Louis GA Lockbox
(314) 425-1818

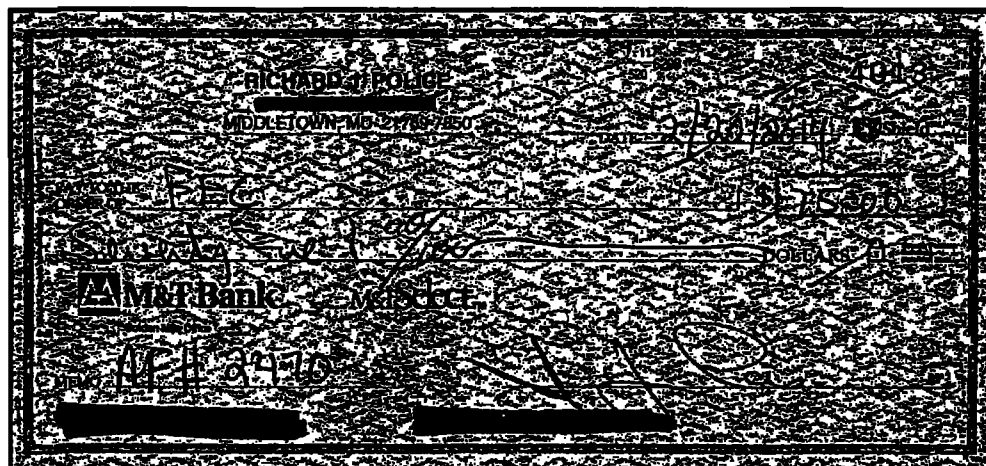
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usbank.St. Louis GA Lockbox
(314) 425-1818

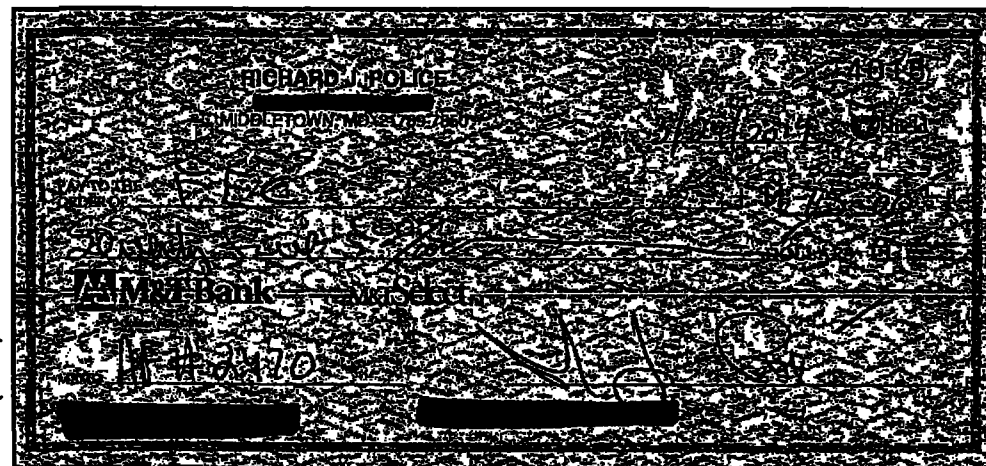
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usbank.St. Louis GA Lockbox
(314) 425-1818

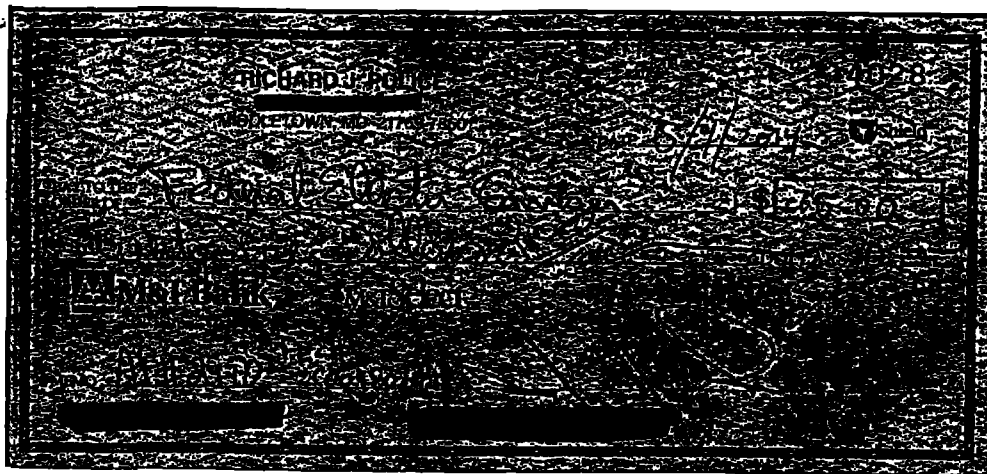
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usbank.St. Louis GA Lockbox
(314) 425-1818

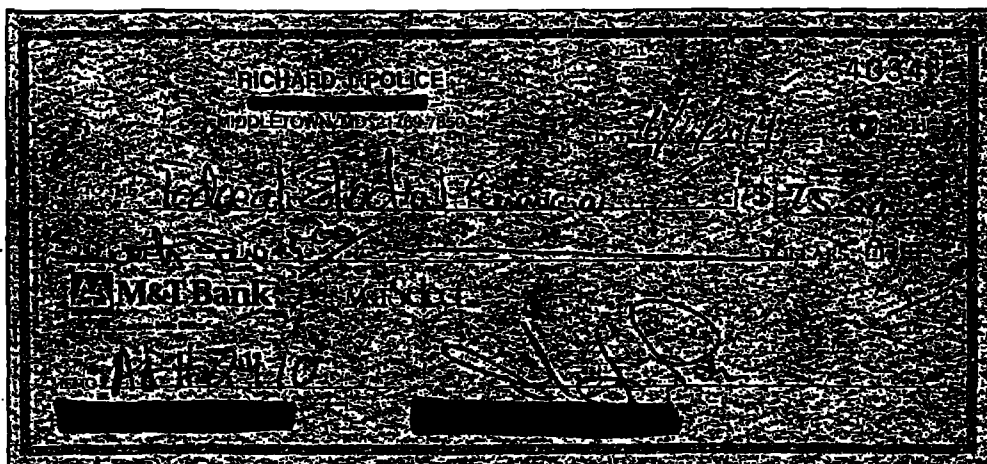
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usbank.St. Louis GA Lockbox
(314) 425-1818

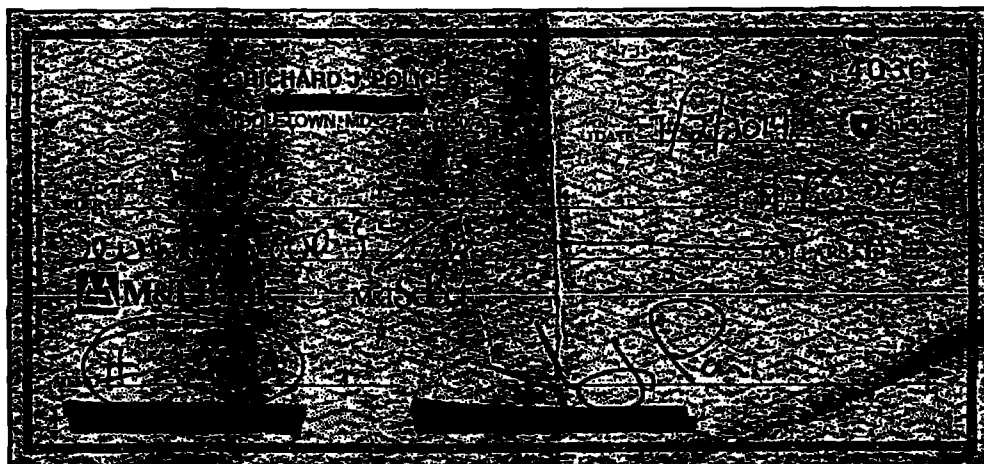
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usbank.St. Louis GA Lockbox
(314) 425-1818

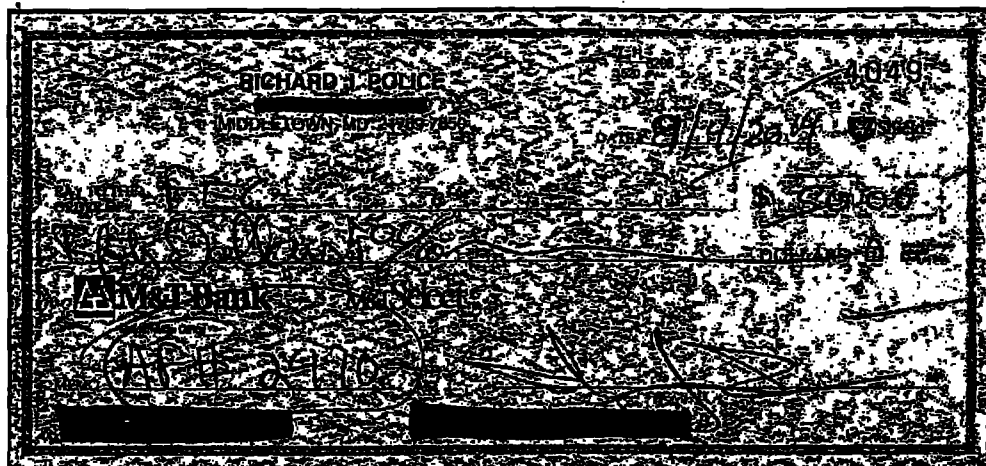
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usbank.St. Louis GA Lockbox
(314) 425-1818

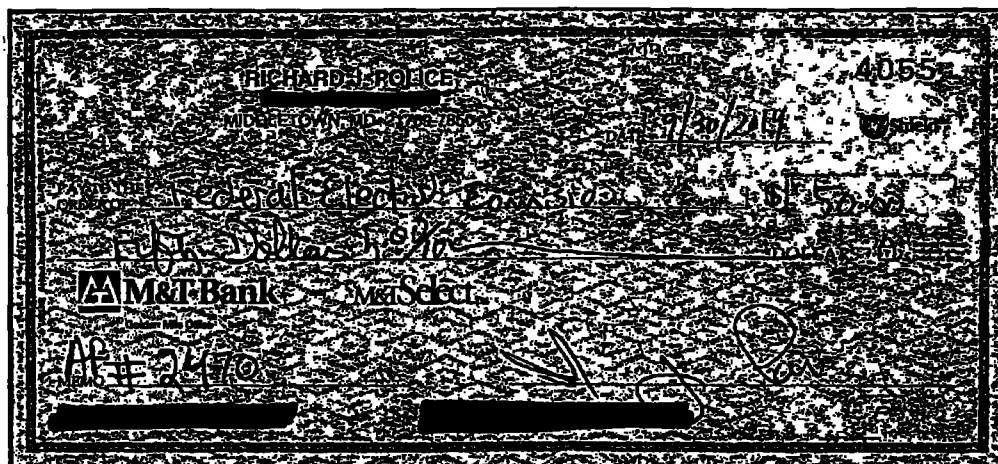
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usbank.St. Louis GA Lockbox
(314) 425-1818

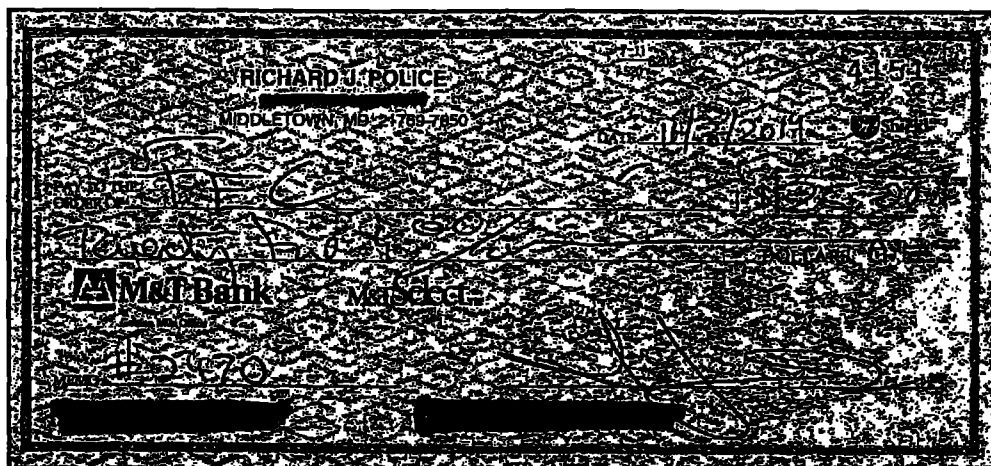
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usbank.St. Louis GA Lockbox
(314) 425-1818

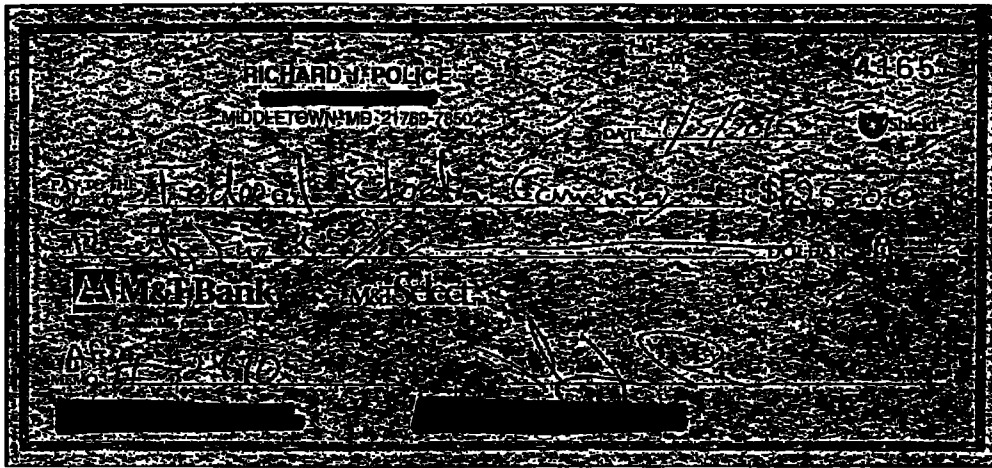
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usbank.St. Louis GA Lockbox
(314) 425-1818

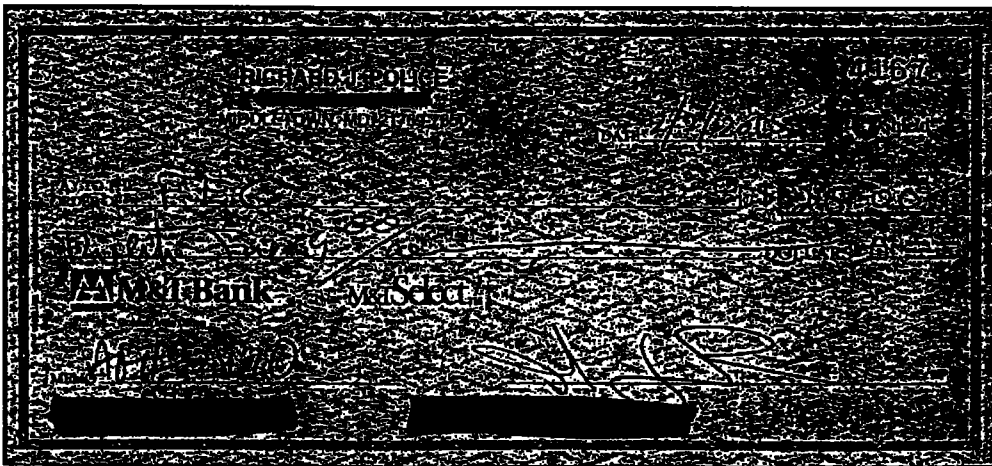
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usbank.St. Louis GA Lockbox
(314) 425-1818

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usbank.St. Louis GA Lockbox
(314) 425-1818

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usbank.St. Louis GA Lockbox
(314) 425-1818

Batch	Item	TID	Batch Total	Amount
1	1	Y-3052027	\$150.00	\$25.00



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 2470

DATE SCANNED 3/10/15

SCANNER NO. 2

SCAN OPERATOR EE5